



Docket No.: 50395-219

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277  
Naoki NISHIYAMA : Confirmation Number: 4807  
Serial No.: 10/633,588 : Group Art Unit: 2633  
Filed: August 05, 2003 : Examiner: Unknown  
For: AN OPTICAL RECEIVER AND METHOD FOR MANUFACTURING THE SAME

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

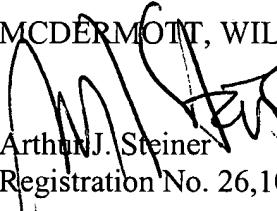
In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

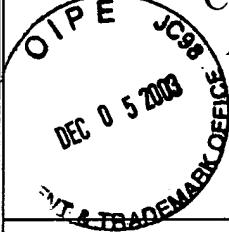
The relevance of Japanese patent laid open HEI 11-284445 is discussed in the present specification.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: December 5, 2003**

| <b>INFORMATION DISCLOSURE<br/>CITATION IN AN<br/>APPLICATION</b><br><br><b>(PTO-1449)</b> |          |   |                                | ATTY. DOCKET NO.<br><b>50395-219</b>               | SERIAL NO.<br><b>10/633,588</b>   |                                |
|---|----------|---|--------------------------------|--|---|--------------------------------|
|   |          |   |                                | APPLICANT<br><b>Naoki NISHIYAMA</b>                |   |                                |
| <b>FILING DATE</b><br><b>August 05, 2003</b>  |          |   |                                | GROUP<br><b>2633</b>                               |   |                                |
| <b>U.S. PATENT DOCUMENTS</b>  |          |   |                                |  |   |                                |
| EXAMINER'S INITIALS   | CITE NO. | Document Number<br>Number-Kind Code <sub>2</sub> ( <i>if known</i> )  | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document    | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |                                |
|   | US       | 6,476,716 B1  | 11/05/2002                     | Ledlow   |   |                                |
|   | US       |   |                                |  |   |                                |
|   | US       |   |                                |  |   |                                |
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| <b>FOREIGN PATENT DOCUMENTS</b>   |          |   |                                |  |   |                                |
| EXAMINER'S INITIALS   | CITE NO. | Foreign Patent Document<br>Country Codes-Number & Kind<br>Codes ( <i>if known</i> )   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages,<br>Columns, Lines<br>Where Relevant<br>Figures Appear              | Translation                    |
|   |          | JP 11-284445  | 10/15/1999                     | SUMITOMO ELECTRIC IND LTD                          |   | Yes (Japan w/English Abstract) |
|   |          | JP 11-205249  | 07/30/1999                     | OKI TEC:KK<br>OKI ELECTRIC IND CO LTD              |   | Yes (Japan w/English Abstract) |
|   |          |   |                                |  |   |                                |
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|   |          |   |                                |  |   |                                |
|   |          |   |                                |  |   |                                |
| <b>OTHER ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)   |          |   |                                |  |   |                                |
| EXAMINER'S INITIALS   | CITE NO. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |                                |  |   |                                |
|   |          | DS1851, "Dual Temperature-Controlled NV Digital-to-Analog Converters", pp. 1-17   |                                |  |   |                                |
|   |          | DS1848, "Dual Temperature-Controlled NV Variable Resistor & Memory", pp. 1-17   |                                |  |   |                                |
|   |          |   |                                |  |   |                                |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.